

In re Application of:

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**Group Art Unit: 1742**

**Examiner:** Lois L. Zheng

For: **Electrochemical Processing**  
**Cell**

**CERTIFICATE OF MAILING OR TRANSMISSION**

Dear Sir:

Steven H. VerSteege

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.


While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

The Commissioner is hereby authorized to charge the sum of \$180.00 due under 37 CFR § 1.17(p) pursuant to § 1.97, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/APPM/007669.Y1.P3/KMT.

Respectfully submitted,



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**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

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|------------------------|--------------------------------|
| Application Number     | 10/627,336                     |
| Filing Date            | July 24, 2003                  |
| First Named Inventor   | Michael X. Yang                |
| Art Unit               | 1742                           |
| Examiner Name          | Lois L. Zheng                  |
| Attorney Docket Number | APPM/007669.P3.Y1/PPC/ECP/CKIM |

**U.S. PATENT DOCUMENTS**

| Examiner Initials * | Cite No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---------------------|-----------------------|--------------------------------------------|--------------------------------|-------------------------------------------------|---------------------------------------------------------------------------|
|                     |                       | Number - Kind Code <sup>2</sup> (if known) |                                |                                                 |                                                                           |
|                     | A1                    | US-6964792                                 | 11/15/2005                     | Mayer                                           |                                                                           |
|                     | A2                    | US-6558518                                 | 05/06/2003                     | Sendai                                          |                                                                           |
|                     | A3                    | US-6415804                                 | 07/09/2002                     | Pascal, et al.                                  |                                                                           |
|                     | A4                    | US-6258223                                 | 07/10/2001                     | Cheung, et al.                                  |                                                                           |
|                     | A5                    | US-6251251                                 | 06/26/2001                     | Uzoh, et al.                                    |                                                                           |
|                     | A6                    | US-6197182                                 | 03/06/2001                     | Kaufman, et al.                                 |                                                                           |
|                     | A7                    | US-20010000396                             | 04/26/2001                     | Dordi, et al.                                   |                                                                           |
|                     | A8                    | US-20040016636                             | 01/29/2004                     | Yang                                            |                                                                           |
|                     | A9                    | US-20010032788                             | 10/25/2001                     | Woodruff, et al.                                |                                                                           |
|                     | A10                   | US-20030070695                             | 04/17/2003                     | Emami, et al.                                   |                                                                           |
|                     | A11                   | US-20020096508                             | 07/25/2002                     | Weaver, et al.                                  |                                                                           |
|                     | A12                   | US-20040209414                             | 10/21/2004                     | Mok                                             |                                                                           |
|                     |                       |                                            |                                |                                                 |                                                                           |
|                     |                       |                                            |                                |                                                 |                                                                           |
|                     |                       |                                            |                                |                                                 |                                                                           |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials * | Cite No. <sup>1</sup> | Foreign Patent Document                                                             | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>3</sup> |
|---------------------|-----------------------|-------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------|---------------------------------------------------------------------------|----------------|
|                     |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |                                                 |                                                                           |                |
|                     | B1                    | WO 02/059398                                                                        | 08/01/2002                     | Sendai, et al.                                  |                                                                           |                |
|                     | B2                    | WO 00/077278                                                                        | 12/21/2000                     | Dordi, et al.                                   |                                                                           |                |
|                     | B3                    | WO 00/032835                                                                        | 06/08/2000                     | Dordi, et al.                                   |                                                                           |                |
|                     |                       |                                                                                     |                                |                                                 |                                                                           |                |
|                     |                       |                                                                                     |                                |                                                 |                                                                           |                |
|                     |                       |                                                                                     |                                |                                                 |                                                                           |                |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials * | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|---------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
|                     | C1                    | PCT International Search Report and the Written Opinion for International Application No. PCT/US04/12012 dated September 5, 2006. (APPM/007669PC02)                                                                                                             |                |
|                     | C2                    | PCT International Search Report for International Application No. PCT/US03/23356 dated January 17, 2005. (APPM/7669PC)                                                                                                                                          |                |

Examiner  
SignatureDate  
Considered

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